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CATERPILLAR®

Facsimile Cover Sheet

Total number of pages including cover sheet 7		Date December 1, 1999
To	Name Missing Parts	
	Department/Company U.S. Patent, Trademark, and Copyright Office	
	Plant/Office/Address Washington, D.C.	
	Fax 703-308-7752	Telephone 703-308-1202
	From	
From	Name Larry G. Cain	
	Company Caterpillar Inc., Patent Department	
	Address 100 N.E. Adams Street	
	City, State, Zip Peoria, IL 61629-6490	
	Fax (309) 675-1236	Telephone 309-675-4922

RE: Serial No. 09/322,770 (Reissue)

Message:

As per a telephone conversation of earlier today, December 7, 1999, attached are true copies of the Declaration and Power of Attorney for Serial No. 09/322,770 which were not received in response to the notice of missing parts dated June 22, 1999. Attached are individual copies for each of the inventors, Richard A. DeKeyser, Vernon R. Smith, Ronald D. Shinogle, Stephen F. Glassey, and Yasser A Al Charif. Authorization to charge the surcharge fee of \$130.00 to our Deposit Account No. 03-1129 was given in paper number 12 dated October 28, 1999

Also attached is a copy of the Notice of Incomplete Reply dated November 19, 1999.

If I can be of further assistance, please advise.

Larry G. Cain

Confidential Communication

This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged or confidential. If the reader of this message is not the intended recipient or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone (collect), and return the original message to us at the above address via the U.S. Postal Service. Thank you.

DEC. 7. 1999 11:56AM
FBI/DOJ

CAT PATENT DEPT



United States Patent and Trademark Office
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Washington, DC 20231

NOV 30 1999

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
CATERPILLAR INC. 09/322,770	05/28/99	SHINOGLA	R 87-146-R

JEG

ERIC M BRAM
CATERPILLAR INC
100 N E ADAMS ST
PEORIA IL 61629-6490

0242/1119

NOT ASSIGNED

3747

DATE MAILED:

11/19/99

NOTICE OF INCOMPLETE REPLY
(Filing Date Granted)

The reply filed on 11/2/99 to the

☒ Notice to File Missing Parts (Notice)

☐ Notice to File Corrected Application Papers (Notice)

mailed on 6/22/99 has been entered into the application. The reply, however, is incomplete for the following reason(s).

☐ 1. The filing fee required by the Notice has not been received. The amount of \$ _____ is due.

☐ 2. The surcharge of \$ _____ has not been received.

☒ 3. The oath or declaration for this nonprovisional application has not been received.

Reissue declaration 37 CFR 1.175 is required. Please see the copies attached.

☐ 4. A \$50 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 5. The oath or declaration for this nonprovisional application is not executed in compliance with 37 CFR 1.64(a) because:

☐ a. The inventor's signature is missing.

☐ b. The signature is missing for inventor(s) _____

☐ c. The application is one filed under 37 CFR 1.42, 1.43, or 1.47, and the oath or declaration is not executed by the person(s) qualified to make the oath or declaration.

☐ 6. The cover sheet (37 CFR 1.151(c)(1)) for this provisional application has not been received.

☐ 7. The reply does not include the following item(s) required by the Notice:

A complete reply must be timely filed to prevent **ABANDONMENT** of the above-identified application.

The period for reply remains as set forth in the Notice. You may, however, obtain an **EXTENSION OF TIME** under the provisions of 37 CFR 1.136(a) by filing a petition accompanied by the appropriate fee (37 CFR 1.17(a)).

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

K. Nelson

Customer Service Center
Initial Patent Examination Division (703) 308-1202